

# IN THE UNITED STATES PATENT AND TRADEMARK OF IC

MAR 2 6 2002

TECHNOLOGY CANTONNEY Docket No.:

Integrated Decision Support System For Optimizing The Training And Transition Of Airline Pilots

CA105US

U.S. Filing Date:

Examiner:

November 13, 2001

Not Assigned

Art Unit:

Assignee: CALEB Technologies Corp.

2121

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**Technology** Center 2100

U.S. Patent Application Serial No.: 10/054,343

Inventors: Benjamin Glover Thengvall & Julian Enrique Pachon

Assistant Commissioner For Patents U.S. Patent And Trademark Office Washington, D.C. 20231

Dear Sir:

### INFORMATION DISCLOSURE STATEMENT MEMORANDUM

Pursuant to 37 CFR § 1.97 and 1.98, Form PTO/SB/08A is enclosed for consideration in the examination and prosecution of this case. A copy of each document listed is enclosed.

No representation is made or intended that more relevant information does not exist, that the order of presentation of the enclosed materials represents order of importance or relevance, that any admissions of any kind is made with regard to the enclosed materials, or that any of the enclosed material is properly citable as prior art against this case.

Applicants respectfully submit that their invention is patentable over the enclosed and listed materials, which are summarized as follows:

### U.S. Patents

1. U.S. Patent No. 6,076,067 teaches the decomposition of a vehicle origination and destination problem into a linear vehicle assignment model and a nonlinear network flow model. The nonlinear aspects of the targeted market are incorporated into the vehicle assignment model through use of linear approximations to a total revenue function.

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Information Disclosure Statement Memorandum Page 2

- 2. U.S. Patent No. 6,292,806B1 discloses a system and method which includes features for accessing and managing a database of aircraft technical information to support aircraft maintenance and repair assistance.
- 3. U.S. Patent No. 6,278,965B1 discloses a real time data management system using data generated at different rates to support aircraft surface operations.
- 4. U.S. Patent No. 6,275,812B1 discloses a system and method for training and transition of persons using skill templates and weightings.
- 5. U.S. Patent No. 6,275,767B1 discloses a method for implementing an air traffic service unit which links aircraft equipments, communication systems, and an operating system. Hooks method is used to filter operating system calls from airline operational communications.
- 6. U.S. Patent No. 6,263,315B1 discloses a method and system for supporting decisions to accept or deny requests for resource capacity by using control logic that accesses multi-dimensional lookup tables of price values for each resource.
- 7. U.S. Patent No. 6,240,362B1 discloses a method of scheduling a vehicle in real-time to transport freight and passengers through use of a host computer and freight/passenger terminals connected to a network, where arrival and departure times to each destination are estimated and updated as the vehicle travels.
- 8. U.S. Patent No. 6,161,097 discloses a system and method which receives data from multiple sources that is generated at different rates to provide real-time scheduling of the movement of plural surface vehicles.
- 9. U.S. Patent No. 6,078,912 discloses a computer system and method for determining and managing support resources of an object resource.
- 10. U.S. Patent No. 6,064,981 discloses a system and method for accepting or negotiating freight forwarder or carrier rates by way of the Internet.
- 11. U.S. Patent No. 5,794,224 discloses a network probabilistic resource allocation system and method which includes a low capacity computational module, and a self-organizing associative network, where nodes represent elementary resources, terminal end nodes represent goals, and weighted links represent the order of resource association in different allocation episodes.
- 12. U.S. Patent No. 5,450,317 discloses an automated system and method for recommending optimal order quantities and timing, choice of vendor locations and storage locations, and transportation modes for individual items and product families.
- 13. U.S. Patent No. 5,265,006 discloses a method and system for distributing planned and random orders with source and destination points in a predetermined geographic territory.

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14. U.S. Patent No. 4,797,839 discloses a system and method which processes probability data to provide optimum allocation of resources among a series of demands.

#### **Printed Publications**

- 1. "Optimized Pilot Planning and Training at Continental Airlines", by Gang Yu, Benjamin Thengvall, Julian Pachon, Darryal Chandler, and Al Wilson, is a submission to INFORMS, for an Edelman Award (10/17/01). Benjamin Thengvall and Julian Pachon are the inventors of the present invention. While the submission provides a brief overview description of a decision support system which manages large volumes of data and employs state-of-the-art optimization modeling and solution techniques to allocate human and training resources to obtain optimal operational and financial performance, no disclosure of the current invention is provided.
- 2. "Decision Support Systems-An application in strategic manpower planning of airline pilots", by Peter J. Verbeek, European Journal of Operational Research, pages 368-381, Vol. 55 (1991), discusses a framework for designing a decision support system. While operations research equations for sub-problems such as allocation of flexible demand, determination of need for vacancies, and allocation of instruction tasks are provided, no mixed integer model for training and transition of pilots for an entire airline is provided. In fact, it is stated on page 378 that an attempt to produce such a mixed integer model failed for being too large for commercial solution.

Respectfully Submitted

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Co	mplete if Known	
Application Number	10/054.343	
iling Date	11/13/01	
irst Named Inventor	Benjamin G. Thengy	111
Art Unit	2121	
xaminer Name	Not Assigned	
Attorney Docket Number	CA105US	

			U.S. PATI	ENT DOCUMENTS		1
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	2	6s-076,067 us-6,292,806			ical Publishers	
	3 4 5	us-6,278,965 us-6,275,812 us-6,275,767	B1 8/14/0	1 NASA 1 Lucent Techno 1 Aerospatiale		<b>NED</b>
	6 7	us-6,240,362 us-6,161,097	B1 5/29/0 12/12/00	1 IAP Intermoda NASA	1, LLC MAR 28	2002
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code3 -Number4 - Kind Code5 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6

Examiner		Date	
Signature		Considered	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 

<sup>2</sup> See Kinds Codes of USPTO Patent Documents at 

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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of

Application Number 10/054,343
Filing Date 11/13/01
First Named Inventor Benjamin G. Thengvall
Group Art Unit 2121
Examiner Name Not Assigned
Attorney Docket Number CA105US

Complete if Known

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials 15 GANG YU, BENJAMIN THENGVALL, JULIAN PACHON, DARRYAL CHANDLER, & AL WILSON, "Optimized Bilot Planning and Training at Continental Airline CENTED INFORMS, 10 /17 /01, U.S. PETER J. VERBEEK, "Decision Support Systems—MAR 2 8 2002 An application in strategic manpower plaining of airline pilots", European Journal of 16000000 Center 2100 Operational Research, Vo. 55 (1991), pp368-381

Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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